



Group Art Unit: Unknown

Examiner: Unknown

A

Attorney Docket No.: 9138-23



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FRANK C. HOPPENSTEADT

EUGENE M. IZHIKEVICH

Serial No.: Not yet assigned

Filed: Herewith

Title: PHASE LOCK LOOPED

OSCILLATORY NEUROCOMPUTER

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

#### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s):

Frank C. Hoppensteadt Eugene M. Izhikevich

For:

Phase Lock Looped Oscillatory Neurocomputer

## 1. Type of Application

This new application is for a(n)

[X]	Original (nonprovisional)
[]	Design
[]	Plant
[]	Divisional
[]	Continuation
Γī	Continuation-in-part (C-I-F





Inventor(s): Hoppensteadt; Izhikevich

- 2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)
  - [X] The new application being transmitted claims the benefit of prior U.S. provisional application number 60/178,640. Enclosed are added pages for new application transmittal where benefit of prior U.S. application(s) claimed.
- 3. Papers Enclosed
  - A. Required for filing date under 37 CFR § 1.53(b) (Regular) or 37 CFR § 1.153 (Design) Application
    - 11 Pages of specification
    - 4 Pages of claims
    - 8 Sheets of drawing
      - [ ] formal
      - [X] informal
  - B. Other Papers Enclosed
    - 2 Pages of declaration and power of attorney
    - 1 Pages of abstract
    - X Other (Information Disclosure Statement)
- 4. Additional papers enclosed

Information Disclosure Statement (37 CFR 1.98)

- 5. Declaration or oath
  - [X] Enclosed

Executed by

[X] inventor(s).

- 6. Power of Attorney
  - [X] Enclosed
    - [X] Combined with Oath executed by inventors
    - [ ] Separate Power of Attorney executed by owner
      - [ ] 37 CFR § 3.73(b) Declaration





Inventor(s): Hoppensteadt; Izhikevich

7.	Inventorship	Statement
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The inventorship for all the claims in this application are:

- [X] The same.
- [ ] Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
  - [ ] is submitted.
  - [ ] will be submitted.

## 8. Language

- [X] English
- [ ] Non-English
  - [ ] The attached translation includes a statement that the translation is accurate. 37 CFR 1.52(d).

# 9. Fee Calculation (37 CFR 1.16)

A. Regular application

		CLAIMS AS FILE	D		
	Number Filed	Number Extra		Rate	Basic fee 37 CFR 1.16(a) \$710.00
Total Claims (37 CFR 1.16(e))	20 - 20 =	0	x	\$18.00	\$0.00
Independent Claims (37 CFR 1.16(b))	4 - 3 =	0	x	\$80.00	\$80.00
Multiple dependent Claim(s), if any (37 CFR 1.16(d))	0		x	\$270.00	\$0.00

Filing Fee Calculation (\$710.00)

## 10. Fee Payment Being Made at this Time

- [ ] Not Enclosed
  - [ ] No filing fee is to be paid at this time.
- [X] Enclosed

[X] Filing fee

Total fees enclosed

\$790.00

\$790.00

\$0.00





Inventor(s): Hoppensteadt; Izhikevich

11.	Method of Payment of Fees							
	[]	Check in the amount of \$						
	[X]	Charge Account No. 07-0135 in the amount of \$790.00						
A duplicate of this transmittal is attached.								
12.	Auth	orization to Charge Additional Fees						
- <b>-</b> ·	[X]	The Commissioner is hereby authorized to charge the following						
		additional fees by this paper and during the entire pendency of this						
		application to Account No. 07-0135						
		[X] 37 CFR 1.16(a), (f) or (g) (filing fees)						
		[X] 37 CFR 1.16(b), (c) or (d) (presentation of extra claims)						
		[ ] 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or						
		declaration on a date later than the filing date of the application)						
		[ ] 37 CFR 1.17(a)(1)-(5) (extension fees pursuant to 1.136(a)) [X] 37 CFR 1.17 (application processing fees)						
		<ul><li>[X] 37 CFR 1.17 (application processing fees)</li><li>[ ] 37 CFR 1.18 (issue fee at or before mailing of Notice of</li></ul>						
		Allowance pursuant to 37 CFR 1.311(b))						
13.	Instr	Instructions as to Overpayment						
	[X]	Credit Account No. 07-0135						
	[]	Refund						
Incor	poratio	on by Reference of Added Pages						
	[X]	Plus Added Pages for New Application Transmittal Where Benefit						
	. ,	of Prior U.S. Application(s) Claimed						
		Number of pages added 2						
	[]	Plus Added Pages Deleting Name(s) of Inventor(s) Named in						
		Prior Application(s) Who is/are No Longer Inventor(s) of the						
		Subject Matter Claimed in this Application						
		Number of pages added						
	[]	Plus "Assignment Cover Letter Accompanying New Application"						
		Number of pages added						





Inventor(s): Hoppensteadt; Izhikevich

Respectfully submitted,

GALLAGHER & KENNEDY, P.A.

Dated: / /2 6

Thomas D. MacBlain, Reg. No. 24,583

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Facsimile: (602) 530-8500

#### CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10.

I hereby certify that this document (and any as referred to as being attached or enclosed) is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service, mailing label No. E Lagrange US on Laboratory and addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Cindy Guerrero, Legal Secretary

Berrha Lagodward





# ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

14.	Relat	te Back	
	[X]	Amend the specification by inserting, before the first line, the following sentence:	
	٨	35 U.S.C. 119(e)	
	A.		
	D	Application No. 60/178,654 filed January 28, 2000."	
	B.	35 U.S.C. 120, 121 and 365(c)	
		[ ] "This application is a	
		[ ] continuation	
		[ ] continuation-in-part [ ] divisional	
		of copending application(s) [ ] application number/, filed on"	
		[ ] application number _/, filed on"	
		[ ] International Application filed on and which designated the U.S."	_
		[ ] "The non-provisional application designated above, namely, application/, filed claims the benefit	
		of U.S. Provisional Application No(s).:	
	[]	Where more than one reference is made above, please combine all	
	l J	references into one sentence.	
		references into one sentence.	
15.	Furtl	her Inventorship Statement Where Benefit of Prior Application(s) Claimed	
	Α.	[X] This application discloses and claims only subject matter disclosed	
		in the prior application whose particulars are set out above and the	
		inventor(s) in this application are	
		[X] the same.	
		[ ] less than those named in the prior application. It is	
		requested that the following inventor(s) identified for	
		the prior application be deleted:	
	B.	[ ] This application discloses and claims additional disclosure by	
		amendment and a new declaration or oath is being filed. With	
		respect to the prior application, the inventor(s) in this application	
		are	
		[ ] the same.	
		[ ] the following additional inventor(s) has (have) been added:	
	C.	[X] The inventorship for all the claims in this application are	
		[X] the same.	
		[ ] not the same. An explanation, including the ownership of	
		the various claims at the time the last claimed invention	
	•	was made	
		[ ] is submitted.	
		[ ] will be submitted.	





Inventor(s): Hoppensteadt; Izhikevich

# 16. Request to Not Publish Subject Patent Application

Applicants hereby certify that the invention disclosed in the application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of application 18 months after filing. Accordingly, applicants respectfully request the subject patent application not be published as provided in section (b), paragraph (1) of 35 U.S.C. 122.

Respectfully submitted,

GALLAGHER & KENNEDY, P.A.

Dated: //26

Thomas D. MacBlain, Reg. No. 24,583

2575 East Camelback Road Phoenix, Arizona 85016-9225 Telephone: (602) 530-8000 Facsimile: (602) 530-8500

#### CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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